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| MAIN FORM 19b | -4(e) |
| MAR 19 100 FORM 19b-4(e) Washington DC FORM 19b-4(e) Washington Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 | |
| Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 | |
| READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM | |
| | |
| Part I Initial Listing | |
| Name of Self-Regulatory Organization Listing New Derivative Securities Product: Nasdaq Stock Market LLC (traded pursuant to unlisted trading privileges) | |
| 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghout | ise, broker-dealer, corporation, etc.): |
| Open End Management Investment Company | III DIMBIGUISTI III TA A |
| 3. Class of New Derivative Securities Product: Exchange Traded Fund | |
| 4. Name of Underlying Instrument: | |
| Vident Core U.S. Equity Index | 18002402 |
| 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based | |
| 6. Ticker Symbol(s) of New Derivative Securities Product: VUSE | |
| 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE | |
| 8. Position Limits of New Derivative Securities Product (if applicable): | |
| Regular way trades settle on T + 2 (cash settled) | |
| 9. Position Limits of New Derivative Securities Product (if applicable): | |
| N/A | |
| Part II Executio | n |
| The undersigned represents that the governing body of the above | referenced Self-Regulatory Organization has duly |
| approved, or has duly delegated its approval to the undersigned | for, the listing and trading of the above-referenced |
| new derivative securities product according to its relevant tradin | g rules, procedures, surveillance programs and listing |
| standards. | |
| Name of Official Responsible for Form: William Slattery | |
| Title: | |
| LQ Mgr | |
| Telephone Number: +1 301 9788088 | |
| Manual Signature of Official Responsible for Form: | |
| William Janepy | Act Securities Exchange Act of 1934 |
| Date: March 11, 2018 | |
| | Section 196-4 Rule 196-40 |
| SEC 2449 (6-01) | |
| | Public Availability: MAR 1 9 2018 |
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